



**Agenda Item**

**FOR PUBLICATION**

**DERBYSHIRE COUNTY COUNCIL**

**CABINET MEMBER FOR ADULT CARE**

**16 September 2021**

**Joint Report of the Executive Director of Adult Social Care and Health  
and the Director of Finance & ICT**

**Performance and Budget Monitoring/Forecast Outturn 2021-22 as at  
Quarter 1**

**Adult Care**

**1      Divisions Affected**

County-wide

**2      Key Decision**

This is not a key decision.

**3      Purpose of the Report**

To provide the Cabinet Member with an update of the Council Plan performance position and the revenue budget position of the Adult Care portfolio for 2021-22 up to the end of June 2021 (Quarter 1).

**4      Information and Analysis**

**4.1    Integrated Reporting**

This report presents both financial and Council Plan performance data. The performance summary sets out progress on the Council Plan deliverables and

measures led by the Adult Care portfolio. The remainder of the report gives a summary and detail on the revenue budget position for the portfolio.

As an overview, the report shows that progress is "good" for all of the Council Plan deliverables led by the portfolio. After the use of additional Covid-19 funding, the budget forecast position for 2021-22 is an overspend of £1.038m. It is forecast that £5.122m of savings will have been achieved by the year end. This compares to target savings of £9.335m and the value of savings initiatives, which have been identified for implementation in the current year, of £5.664m.

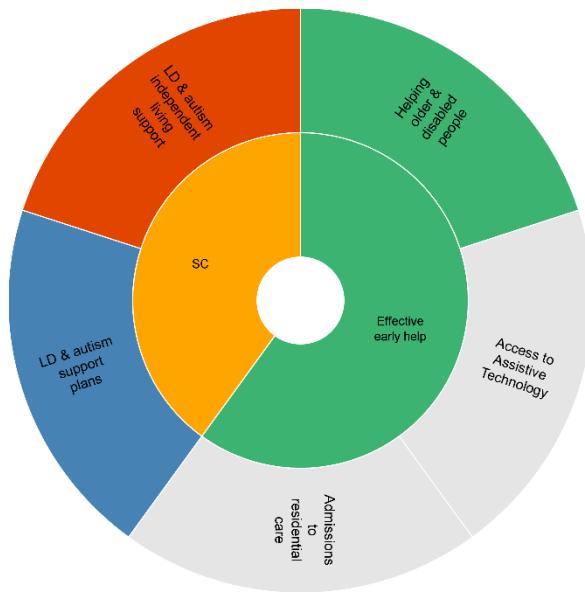
## 4.2 Performance Summary

The following shows an overview for Quarter 1 of progress on the Council Plan deliverables and key measures relating directly to Adult Care.

Deliverable Progress



Key Measures Against Target



Key



Strong



Good



Review



Action



Data not available/Target not set

Progress is "good" for all of the Council Plan deliverables led by the portfolio.

Key areas of success are:

- The central hub of practitioners is successfully working alongside people with a learning disability and /or who are autistic to ensure an outcome focused support plan is in place and a number of people have chosen to access support in the community.
- The Short Term reablement service is meeting the target to support more people to access the service ensuring more people can gain back their independence following a crisis or discharge from hospital.

Key areas for consideration are:

- The number of people with a learning disability and / or who are autistic being supported to move to a more independent setting is behind target, the national lockdowns due to the COVID pandemic have impacted and plans are now in place, given national easements, to ensure further people move to a more independent setting.
- Work is underway to establish performance targets for Assistive Technology and support for older people and disabled people to remain living within their own homes.

Further information on the portfolio's Council Plan performance are included at Appendix 2.

#### 4.3 Budget Forecast Summary

The net controllable budget for the Adult Care portfolio is £260.279m. An additional £4.661m Covid-19 funding will be added to the budget to give a total of £264.940m.

The Revenue Budget Monitoring Statement prepared for Quarter 1 indicates there will be a forecast year-end overspend of £5.699m without Covid-19 funding.

As this overspend will be supported by the use of £4.661m of additional Covid-19 funding, which has been allocated to the Council to support the costs incurred as a result of the pandemic, the forecast position is an overspend of £1.038m.

In addition to any use of earmarked reserves, the forecast outturn position includes the following significant items of one-off income:

£8.604m - This income from Health was to fund all hospital discharge care packages.

£5.293m - Additional Covid-related ring-fenced grants

£18.558m - Covid-19 Expenditure

Forecast outturn against target budget

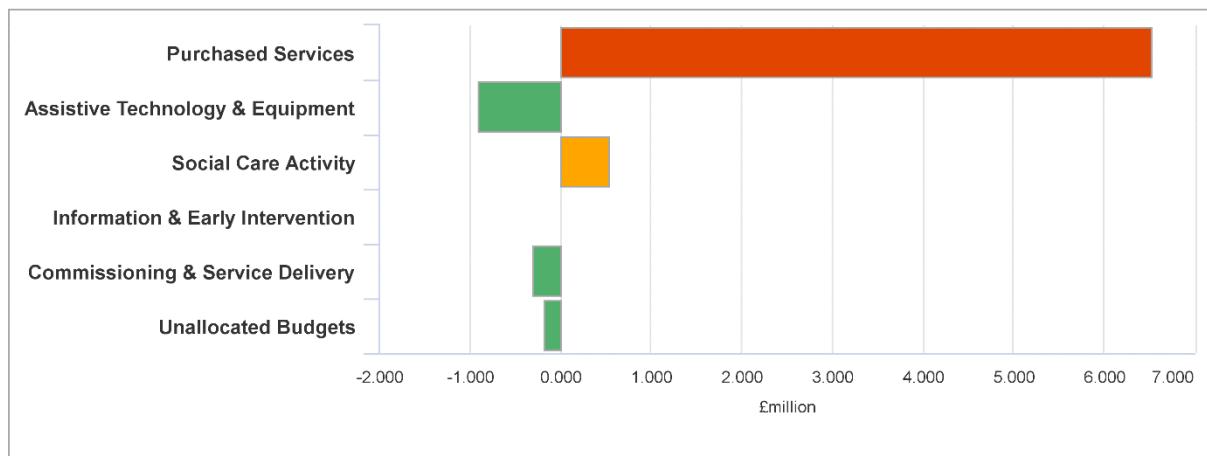


The significant areas which make up this forecast are shown in the following table and graph below:

#### Adult Care Budget Items

	Controllable Budget £m	Full Year Forecast £m	Forecast Under (-)/Over Spend £m	Percentage Under (-)/Over Spend	Budget Performance
Purchased Services	202.682	209.215	6.533	3.2%	☒
Assistive Technology & Equipment	6.749	5.848	-0.901	-13.4%	✓
Social Care Activity	24.711	25.253	0.542	2.2%	▢
Information & Early Intervention	9.831	9.844	0.013	0.1%	▢
Commissioning & Service Delivery	16.128	15.818	-0.310	-1.9%	✓
Unallocated Budgets	0.178		-0.178		✓
<b>Total</b>	<b>260.279</b>	<b>265.978</b>	<b>5.699</b>	<b>2.2%</b>	☒
Covid-19 Funding	4.661		-4.661		
<b>Total after use of additional funding</b>	<b>264.940</b>	<b>265.978</b>	<b>1.038</b>	<b>0.4%</b>	☒

#### Forecast Under (-)/Over Spend



#### 4.4 Key Variances

##### 4.4.1 Purchased Services, overspend £6.533m

Additional Covid costs, mainly relating to hospital discharge

##### 4.4.2 Assitive Technology & Equipment, underspend £0.901m

Due to underspends on the ICES contract

##### 4.4.3 Social Care Activity, overspend £0.542m

Due to increase spend on agency staff and independent persons

#### 4.4.4 Commissioning & Service Delivery, underspend £0.310m Due to a reduction in spend on apprenticeships

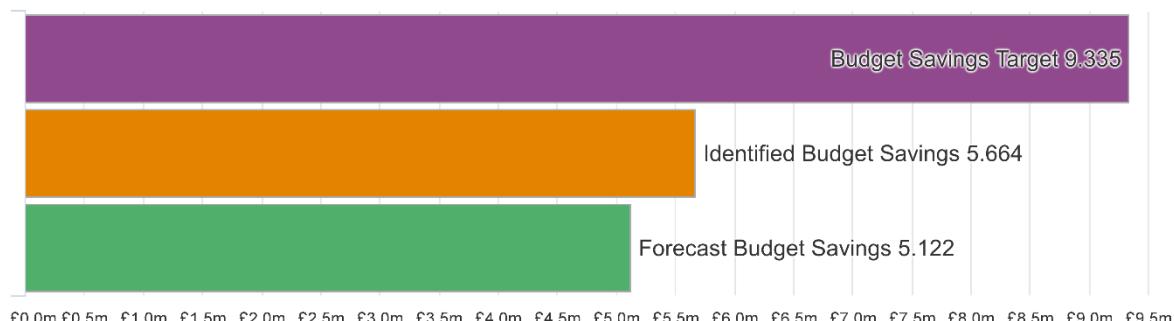
### 4.5 Budget Savings

Budget reduction targets totalling £7.441m were allocated for the year. Further reductions allocated in prior years, totalling £1.894m, had not been achieved and were brought forward to the current year. This has resulted in total reduction targets to be achieved of £9.335m at the start of the year.

The value of the savings initiatives which have been identified for implementation in the current year is £5.664m.

The shortfall between the total targets and the identified savings initiatives is £3.671m. However, this shortfall is underpinned by an allocation of £4.257m of one-off funding to provide flexibility to achieve the target in 2022-23 (one-off funding). The below forecasting relates to quarter 1 performance , robust plans are in place and current indicators predict savings to be met.

#### Budget Savings



It is forecast that £5.122m of savings will have been achieved by the year-end. The table below shows performance against the target.

#### Budget Savings Initiatives

	Budget Reduction Amount £m	Forecast to be Achieved by the end of 2020/21 £m	Shortfall (-)/ Additional Savings Achieved £m	
Better Lives – Whole Life Disabilities Pathway	1.343	0.980	-0.363	☒
Better Lives – Older People's Pathway	3.522	3.797	0.275	✓
Reduce Agency Spend	0.400	0.000	-0.400	☒
Finance Review	0.345	0.345	0.000	✓
Better Lives - Mental Health	0.014	0.000	-0.014	☒
Preperation and Planning for Disabled Children	0.040	0.000	-0.040	☒
Total Position	5.664	5.122	-0.542	☒
Shortfall/(Surplus) of Identified Savings	3.671	0.000	-3.671	
Budget Savings Target	9.335	5.122	-4.213	☒
	Budget Reduction Amount £m			
Prior Year B/f	1.894			
Current Year	7.441			
Budget Savings Target	9.335			

#### 4.6 Growth Items and One-Off Funding

The portfolio received the following additional budget allocations in 2021-22:

- 4.6.1 Independent Living Fund - £2.534m one-off  
To replace the ILF government grant
- 4.6.2 Budget Saving Support - £4.257m one-off  
To defer budget saving targets by one year to reflect the delay in the Better Lives project due to Covid
- 4.6.3 Demographic Growth - £2.794m ongoing  
Used to fund the additional costs due to the year-on-year increase in the number of clients supported
- 4.6.4 Care Home and Home Care Fees - £4.113m ongoing  
This funding was to meet the increase in independent sector fees and was fully allocated

#### 4.7 Financial Risks

There is a risk that the following issues could negatively impact on the portfolio's forecast outturn position reported in the Forecast Summary above:

##### Financial Risks

Service	Risk	Sensitivity* £m	Likelihood 1 = Low, 5 = High
Servicewide	Continuing increase in costs due to Covid	4.661	3

\*Sensitivity represents the potential negative impact on the outturn position should the event occur.

#### 4.8 Earmarked Reserves

Earmarked reserves totalling £17.642m are currently held to support future expenditure. Details of these reserves are as follows:

##### Reserves

	£m
Older People's Housing Strategy	= 16.103
Telecare	1.500
Healthy Homes	= 0.039
<b>Total Reserves</b>	<b>= 17.642</b>

##### Key

- ↑ Reserve has increased over the quarter
- = Reserve is unchanged over the quarter
- ▼ Reserve has decreased over the quarter

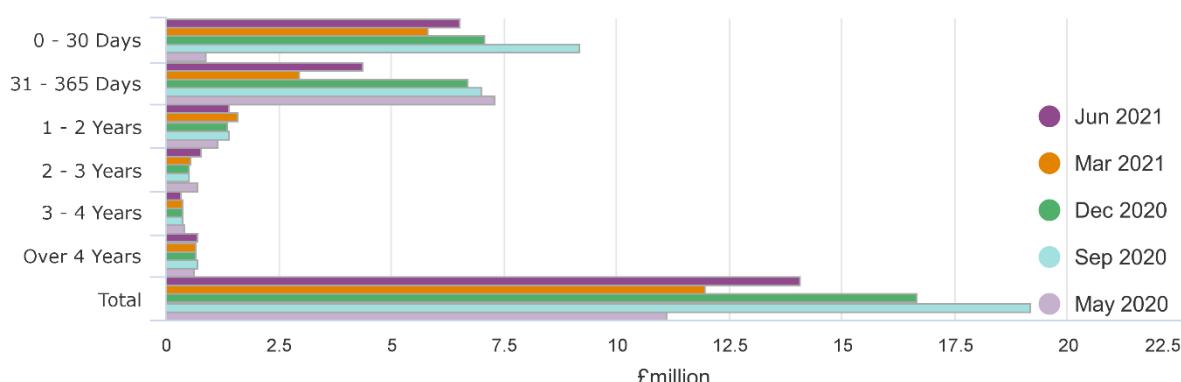
## 4.9 Debt Position

The profile of the debt raised, relating to income receivable by services within the Adult Social Care and Health department, is as follows:

Debt Position

0 - 30 Days £m	31 - 365 Days £m	1 - 2 Years £m	2 - 3 Years £m	3 - 4 Years £m	Over 4 Years £m	Total £m
6.495	4.356	1.416	0.781	0.323	0.706	14.077
↑	↑	▼	↑	▼	↑	↑
46.1%	30.9%	10.1%	5.5%	2.3%	5.0%	100.0%

Aged Debt over Time



In addition, at the end of June 2021 there was a further £3.803m of debt relating to residential and co-funding charges that had not been invoiced.

In the year up to 30 June 2021 the value of debt that has been written off totals £0.224m.

## 4.10 Traded Services

A trading area is where the Council receives income in return for providing discretionary services to external organisations and/or individuals.

No activities within this portfolio have met the definition of a trading area in 2021-22.

## 5 Alternative Options Considered

Not applicable.

## 6 Implications

Not applicable.

## **7      Background Papers**

Held on file within the Department. Officer contacts – Jamie Wickham (finance), Julie Vollor (performance).

## **8      Appendices**

Appendix 1- Considerations

Appendix 2- Adult Care Performance Report Quarter 1, 2021-22

## **9      Recommendation**

That the Cabinet Member notes the report and considers whether there are any further actions that should be undertaken to address performance, where it has not met the desired level.

## **10     Reasons for Recommendation**

Not applicable.

## **11     Is it necessary to waive the call-in period?**

No

## **APPENDIX 1**

### **Implications**

#### **a) Financial**

Contained within the report

#### **b) Legal**

#### **c) Human Resources**

#### **d) Equalities Impact**

#### **e) Corporate objectives and priorities for change**

#### **f) Other (for example, Information Technology Health and Safety, Environmental Sustainability, Property and Asset Management, Risk Management and Safeguarding)**

## APPENDIX 2

# Adult Care Quarter 1 Council Plan Performance Report 2021-22

## Progress on Council Plan deliverables and key measures

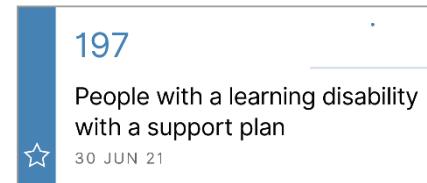
### Resilient, healthy and safe communities

-  **Worked with people with learning disabilities and/ or who are autistic to develop Council services to ensure they are tailored to meet individuals needs and help people achieve their personal goals**

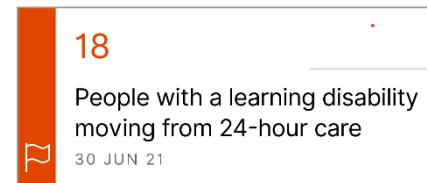
Rating: Good

Expected completion date: 31 Mar 2023

During Quarter 1 there has been a continued focus on the Better Lives programme of work. The central team of practitioners have now actively worked with 317 people with a learning disability or who are autistic . Of these people, 197 have a new outcome focused support plan in place, with 99 choosing to take up an element of a different community offer. The community connector service is now re-established and all 197 people have been approached regarding a digital solution using everyday technology to connect. 7 building based offers remain open, although actual attendance remains limited due to capacity constraints to ensure the offer is Covid secure. 50 people are now accessing on line activities provided by our day centres. Progress is being made to support people with a learning disability to move from a short term residential placement to a supported living long term home within local communities. 18 people have moved so far which is below target however this has been impacted by the national guidance concerning social distancing.



2021-2022	197
Target	130
Performance	



2021-2022	18
Target	24
Performance	

### Effective early help for individuals and communities

-  **Worked with District and Borough Councils and other partners to develop new sites that will increase the amount of age-appropriate accommodation and support for older people**

Rating: Good

Expected completion date: 31 Mar 2035

The Property & Services Governance Accommodation Group continue work to identify and map assets including land to support developments as required and a Dashboard/Tracker has been established.

## APPENDIX 2

The Interim Older People's Market Position Statement, together with the underpinning engagement survey was approved in June by the Cabinet Member and is available on the Council's website

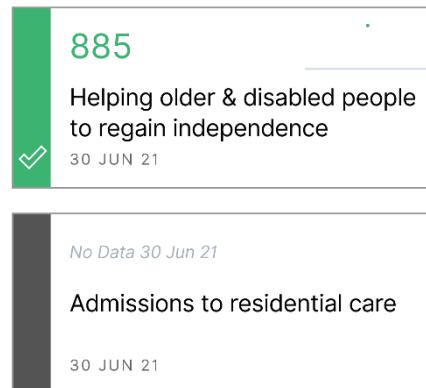
Phase 2 of the Bennerley Fields development work is at an early stage, we are working with the Council's Property Services to develop a design brief for this site, which could include Extra-Care, inter-generational living and affordable homes for Keyworkers.

### **Finalised the new ways of working with older people and disabled people to increase their independence so that they remain part of their local communities**

**Rating:** Good

**Expected completion date:** 31 Mar 2023

The Better Lives programme work has continued in quarter 1 with a focus on embedding the enhanced reablement offer. This new offer is on target to ensure an additional 1,320 people are able to access this service per year. Performance from this quarter shows that the service supported 885 against a target of 897. Concerning supporting people to remain within their own homes whilst data is now available for quarter 4 , whilst this is showing a downward trend, further work is required to ensure comparisons can be made concerning admission figures for residential 2019/2020 to 2020/2021 and to set a target for 2021/2022. Performance data will be available in quarter 2.



2021-2022	885
Target	897
Performance	

2021-2022	No data
-----------	---------

### **Commissioned and procured a new assistive technology service offer to support people with social care needs to live independently in the community**

**Rating:** Good

**Expected completion date:** 31 Mar 2022

The Brain in Hand (BiH) 12 months pilot is progressing well. BiH provides digital self management technology, which, combined with human support, helps people live more independently. As a result of the successful implementation of the pilot some case studies have been identified and will be published in the practice bulletin. 32 referrals have been sent to BiH and there are currently 25 clients using the BiH app. Monthly meetings have now been set up with the BiH team to monitor clients commencing in the next quarter. There has been an increase of 31% (63 clients) in the utilisation of the canary activity monitoring kit, compared to the last quarter (48 clients), this is due to the continuous promotion of the importance and effectiveness of the kit to support social care assessments.



2021-2022	No data
-----------	---------

## APPENDIX 2

There are ongoing discussions with the current Assistive Technology (AT) providers (Districts and Boroughs and housing associations) about the future service delivery options for the Assistive Technology offer and the AT Board continues to meet on a monthly basis to progress priorities of the service. The Council Plan measure for AT is not available this quarter due to the ongoing data cleansing exercise currently taking place, the data should be available in Quarter 2.

### Key

	<b>Deliverables</b>	<b>Measures</b>
	Strong – performing strongly	Strong – more than 5% better than target (2% better than target if the target is greater than 95%).
	Good – performing well	Good
	Review – will be kept under review to ensure performance is brought back on track	Review – more than 2% worse than target.
	Action – additional action will be/is being taken to bring performance is brought back on track	Action – more than 10% worse than target.
	No commentary has been received	Data not available/Target not set.